



**Governance and Audit
Committee**

Tuesday, 12 January 2021

**Subject: Update on the Governance and Audit Committee's
Effectiveness Action Plan**

Report by:

Director of Corporate Services and Monitoring
Officer

Contact Officer:

Corporate Policy Manager & Deputy Monitoring
Officer

Purpose / Summary:

To present to the Committee the action plan
drawn up to address areas for improvement
following the self-evaluation exercise which the
Committee undertook

RECOMMENDATION(S): That committee Members:

1. Review and approve the Action Plan;
2. Be assured that the Action Plan will adequately address the identified areas for improvement.
3. Request that progress on the Action Plan be reported back to the Committee in July 2021.

IMPLICATIONS

Legal: None

Financial :

Staffing : None

Equality and Diversity including Human Rights : None

Data Protection Implications : None

Climate Related Risks and Opportunities: None

Section 17 Crime and Disorder Considerations None:

Health Implications: None

Title and Location of any Background Papers used in the preparation of this report :

[July 20 - Committee Effectiveness Survey Results](#)

Risk Assessment : None

Call in and Urgency:

Is the decision one which Rule 14.7 of the Scrutiny Procedure Rules apply?

i.e. is the report exempt from being called in due to urgency (in consultation with C&I chairman)

Yes

No

Key Decision:

A matter which affects two or more wards, or has significant financial implications

Yes

No

1 Introduction

- 1.1 In March 2020, Members of the Governance & Audit Committee completed a survey to establish the effectiveness of the Committee. This was based on advice offered by the Chartered Institute of Public Finance and Accountancy (CIPFA) (2018) suggesting that it is useful for audit committees to undertake a self-assessment of its effectiveness, the results of which would help audit committee members to consider where it is most effective and where there may be scope to do more. To be considered effective, the audit committee should be able to identify evidence of its impact or influence linked to specific improvements.
- 1.2 The findings were analysed and were presented back to the Committee in July. A number of areas were identified as being in need of attention and the Committee agreed to the formation of a working group to further review the findings and devise an improvement plan which the Committee will oversee.
- 1.3 The Working Group, consisting of four members of the Committee and working to an agreed terms of reference, have met and devised the action plan set out in Appendix One.
- 1.4 Members of the Committee are recommended to:
 - Review and approve the action plan.
 - Be assured that it will adequately address the identified areas for improvement.
 - Request that progress on the action plan be reported back to the Committee in July 2021.